

Building continuous time crystals from rare events

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Symmetry-breaking dynamical phase transitions (DPTs) abound in the fluctuations of nonequilibrium systems. Here we show that the spectral features of a particular class of DPTs exhibit the fingerprints of the recently discovered time-crystal phase of matter. Using Doob’s transform as a tool, we provide a mechanism to build time-crystal generators from the rare event statistics of some driven diffusive systems. An analysis of the Doob’s smart field in terms of the order parameter of the transition then leads to the time-crystal exclusion process (tcEP), a stochastic lattice gas subject to an external *packing field* which presents a clear-cut steady-state phase transition to a time-crystalline phase which breaks continuous time-translation symmetry and displays rigidity and long-range spatio-temporal order. A hydrodynamic analysis of the tcEP transition uncovers striking similarities, but also key differences, with the Kuramoto synchronization transition. Possible experimental realizations of the tcEP are also discussed.

Introduction.— Time has challenged physicists and philosophers alike since antiquity [1, 2]. Despite the unifying spacetime framework brought by relativity and its formulation in terms of Lorentz invariance [3], time still remains a kind of outlier, special in many ways [4]. Examples abound: we can move back and forth between any two points in space but we cannot visit the past, time has an arrow while space has none [1, 5], etc. Time symmetries also exhibit interesting quirks. Most symmetries in nature can be spontaneously broken (gauge symmetries, rotational invariance, discrete symmetries, etc.), with the system ground state showing fewer symmetries than the associated action. In particular, spatial translation symmetry breaks spontaneously, giving rise to new phases of matter characterized by crystalline order, accompanied by a number of distinct physical features such as rigidity, long-range order or Bragg peaks [6]. Time-translation symmetry, on the other hand, seemed fundamentally unbreakable. This changed in 2012, when Wilczek and Shapere proposed the concept of time crystals [7, 8], i.e. systems whose ground state spontaneously breaks time-translation symmetry and thus exhibits enduring periodic motion. This concept, though natural, has stirred a vivid debate among physicists, leading to some clear-cut conclusions [4, 9–12]. Several no-go theorems have been proven that forbid time-crystalline order in equilibrium systems under rather general conditions [13–15], though time crystals are still possible out of equilibrium. In particular, periodically-driven (Floquet) systems have been shown to display spontaneous breaking of *discrete* time-translation symmetry via subharmonic entrainment [16–20]. These so-called discrete time crystals, recently observed in the lab [20–22], are robust against environmental dissipation [23–28], and have also classical counterparts [29, 30]. In any case, the possibility

of spontaneous breaking of continuous time-translation symmetry remains puzzling (see however [31, 32]).

Here we propose an alternative route to search for time-crystalline order, based on the recent observation of spontaneous symmetry breaking in the fluctuations of many-body systems [33–64]. These dynamical phase transitions (DPTs) appear in trajectory space, when conditioning the system of interest to sustain a rare fluctuation of dynamical observables such as the current [33, 36, 59, 65–67]. DPTs thus manifest as singular changes in the properties of trajectories responsible for such rare events, making these trajectories far more probable than anticipated due to the emergence of symmetry-broken structures [34, 35, 41, 43, 44, 47, 53, 54, 61, 68]. For instance, when conditioning a periodic driven diffusive system to sustain a time-integrated current fluctuation well below its average, the system may develop a jammed density wave or rotating condensate in order to hinder particle transport and thus facilitate the fluctuation, a transition captured by a packing order parameter $r(\lambda)$ that measures particles’ coherent motion, see Fig. 1.a. This DPT breaks the continuous time-translational symmetry of the original action, thus opening the door to its use as a resource to build continuous time crystals.

In this work we prove that this is indeed the case by exploring the spectral fingerprints of this DPT in a paradigmatic model of transport, the weakly asymmetric simple exclusion process (WASEP) in $1d$ [43, 56, 66, 69–72]. In particular, we show that the spectrum of the tilted generator describing current fluctuations in this model becomes asymptotically gapless for currents below a critical threshold, where a macroscopic fraction of eigenvalues shows a vanishing real part of the gap as the system size $L \rightarrow \infty$ while developing a band structure in the imagi-

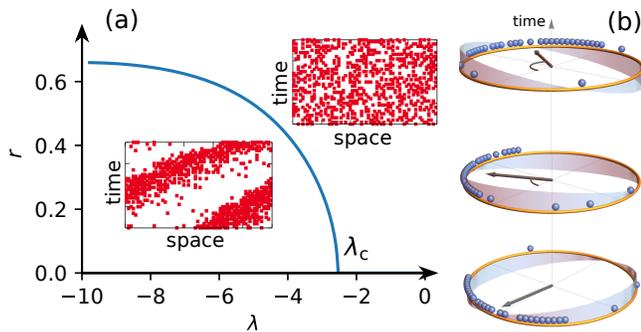


FIG. 1. (a) Packing order parameter $r(\lambda)$ for the DPT in $1d$ WASEP and typical spacetime trajectories for current fluctuations above (top) and below (bottom) the critical point. The rotating condensate phase can be made typical using Doob's transform. (b) Time-crystal exclusion process with a packing field (shaded curve) which pushes particles lagging behind the center of mass while restraining those moving ahead, a mechanism that leads to a rotating condensate. The arrow locates the condensate center-of-mass, with a magnitude $\propto r_C$.

nary axis, see Fig. 2, a behavior typical of time crystals. Interestingly, these rare events can be made typical by virtue of Doob's transform [73–77], which can be interpreted in terms of the original dynamics supplemented with a *smart* driving field. We analyze the Doob's field in terms of the order parameter of the DPT and uncover that it acts as a *packing field*, pushing particles that lag behind the condensate's center of mass while restraining those moving ahead. This amplifies naturally-occurring fluctuations of the packing parameter (see Fig. 1.b), a nonlinear feedback mechanism (strongly reminiscent of the Kuramoto synchronization transition [78–81]) which eventually leads to a time-crystal phase. These observations allow us to introduce the time-crystal exclusion process (tcEP), a variant of the WASEP with a configuration-dependent packing field. Numerical simulations and a local stability analysis of its hydrodynamics then show that the tcEP exhibits a steady-state phase transition to a time crystalline phase which breaks continuous time-translation symmetry and displays rigidity, robust coherent periodic motion and long-range spatio-temporal order despite the stochasticity of the underlying dynamics.

Model.— The WASEP belongs to a broad class of driven diffusive systems of fundamental interest [65, 82, 83]. Microscopically it consists of a $1d$ lattice of L sites subject to periodic boundary conditions where $N \leq L$ particles evolve, so the total density is $\rho_0 = N/L$. Each lattice site may be empty or occupied by one particle at most, so a microscopic configuration is given by $C = \{n_k\}_{k=1,\dots,L}$ with $n_k = 0, 1$ the occupation number of the k^{th} site and $N = \sum_{k=1}^L n_k$. Particles may hop randomly to empty neighboring sites along the $\pm x$ -direction with rates $p_{\pm} = \frac{1}{2}e^{\pm E/L}$, with E an external field which drives the system to a nonequilibrium steady state char-

acterized by an average current $\langle q \rangle = \rho_0(1 - \rho_0)E$ and a homogeneous density profile $\langle n_k \rangle = \rho_0 \forall k$. Configurations can be encoded as vectors in a Hilbert space [84], $|C\rangle = \bigotimes_{k=1}^L (n_k, 1 - n_k)^T$, with T denoting transposition, and the system information at time t is stored in a vector $|P_t\rangle = (P_t(C_1), P_t(C_2), \dots)^T = \sum_i P_t(C_i) |C_i\rangle$, with $P_t(C_i)$ representing the probability of configuration C_i . This probability vector is normalized, $\langle -|P_t\rangle = 1$, with $\langle -| = \sum_i \langle C_i|$ and $\langle C_i|C_j\rangle = \delta_{ij}$. $|P_t\rangle$ evolves in time according to a master equation $\partial_t |P_t\rangle = \mathbb{W} |P_t\rangle$, where \mathbb{W} defines the Markov generator of the dynamics (see below). At the macroscopic level, driven diffusive systems like WASEP are characterized by a density field $\rho(x, t)$ which obeys a hydrodynamic equation [85]

$$\partial_t \rho = -\partial_x \left(-D(\rho) \partial_x \rho + \sigma(\rho) E \right), \quad (1)$$

with $D(\rho)$ and $\sigma(\rho)$ the diffusivity and mobility coefficients, which for WASEP are $D(\rho) = 1/2$ and $\sigma(\rho) = \rho(1 - \rho)$.

Trajectory statistics.— We consider now the statistics of an ensemble of trajectories conditioned to a given space- and time-integrated current Q during a long time t . As in equilibrium statistical physics [86], this trajectory ensemble is fully characterized by a *dynamical partition function* $Z_t(\lambda) = \sum_Q P_t(Q) e^{\lambda Q}$, where $P_t(Q)$ is the probability of trajectories of duration t with total current Q , or equivalently by the associated *dynamical free energy* $\theta(\lambda) = \lim_{t \rightarrow \infty} t^{-1} \ln Z_t(\lambda)$. The intensive *biasing field* λ is conjugated to the extensive current Q , in a way similar to the relation between temperature and energy in equilibrium systems [76]. The configurational statistics associated with a rare event of parameter λ is captured by a vector $|P_t(\lambda)\rangle$, which evolves in time according to a deformed master equation $\partial_t |P_t(\lambda)\rangle = \mathbb{W}^\lambda |P_t(\lambda)\rangle$, with \mathbb{W}^λ a *tilted generator* which biases the original dynamics in order to favor large (low) currents for $\lambda > 0$ ($\lambda < 0$). It can be shown [66, 86, 87] that $\theta(\lambda)$ is the largest eigenvalue of \mathbb{W}^λ , as $Z_t(\lambda) = \langle -|P_t(\lambda)\rangle$. For WASEP

$$\begin{aligned} \mathbb{W}^\lambda = \sum_{k=1}^L & \left[\frac{1}{2} e^{\frac{\lambda+E}{L}} \hat{\sigma}_{k+1}^+ \hat{\sigma}_k^- + \frac{1}{2} e^{-\frac{\lambda+E}{L}} \hat{\sigma}_k^+ \hat{\sigma}_{k+1}^- \right. \\ & \left. - \frac{1}{2} e^{\frac{E}{L}} \hat{n}_k (\mathbb{I} - \hat{n}_{k+1}) - \frac{1}{2} e^{-\frac{E}{L}} \hat{n}_{k+1} (\mathbb{I} - \hat{n}_k) \right], \end{aligned} \quad (2)$$

where $\hat{\sigma}_k^\pm$ are creation and annihilation operators acting on site $k \in [1, L]$, \mathbb{I} is the identity matrix and $\hat{n}_k = \hat{\sigma}_k^+ \hat{\sigma}_k^-$ is the number operator. Note that the original Markov generator is just $\mathbb{W} \equiv \mathbb{W}^{\lambda=0}$, while $\mathbb{W}^{\lambda \neq 0}$ does not conserve probability (i.e. $\langle -|\mathbb{W}^{\lambda \neq 0} \neq 0$).

Spectral analysis of the DPT.— The WASEP has been shown to exhibit a DPT [34, 43, 66] to a time-translation symmetry-broken phase for $|E| > E_c \equiv \pi/\sqrt{\rho_0(1 - \rho_0)}$ and $\lambda_c^- < \lambda < \lambda_c^+$, with $\lambda_c^\pm = \pm \sqrt{E^2 - E_c^2} - E$, where $\theta(\lambda)$ develops a second-order singularity and a macroscopic jammed condensate emerges

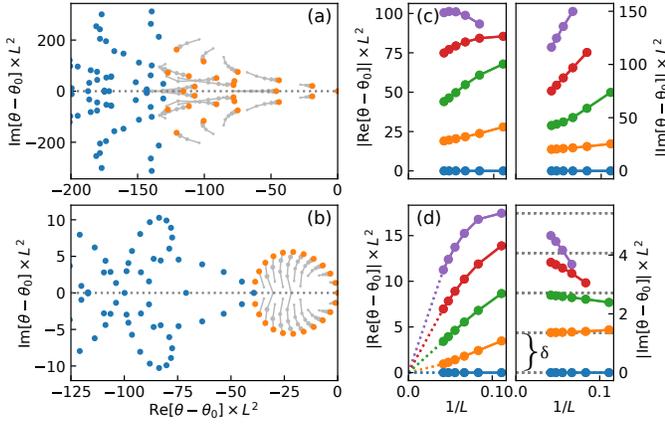


FIG. 2. Diffusively-scaled spectrum of the tilted generator \mathbb{W}^λ for $L = 24$ and $E = 10$ in the homogeneous (a) and condensate (b) phases, $\lambda = -1$ and -9 , respectively. Small (light gray) points represent the evolution of the L leading eigenvalues with the system size. (c)-(d) Finite-size scaling analysis for the real and imaginary parts of the leading eigenvalues in the homogeneous (c) and condensate (d) phases. The real parts converge to zero as a power law of $1/L$ in the condensate phase, while the imaginary parts exhibit a clear band structure with constant frequency gap δ , proportional to the condensate velocity.

to hinder particle transport and thus aid low current fluctuations, see bottom inset in Fig. 1.a. This DPT is well-captured by the packing order parameter $r(\lambda)$, the λ -ensemble average of $r_C \equiv |z_C|$, with $z_C \equiv N^{-1} \sum_{k=1}^N e^{i2\pi x_k(C)/L} = r_C e^{i\phi_C}$ and $x_k(C)$ the lattice position of particle k in configuration C , see Fig. 1.a. Note that $r_C = |z_C|$ and $\phi_C = \arg(z_C)$ are the well-known Kuramoto order parameters of synchronization [78–81], measuring respectively the particles’ spatial coherence and the center-of-mass angular position, thus capturing the transition from the homogeneous to the density wave phase. The spectrum of \mathbb{W}^λ codifies all the information on this DPT. In particular, let $|R_i^\lambda\rangle$ and $\langle L_i^\lambda|$ be the i^{th} ($i = 0, 1, \dots, 2^L - 1$) right and left eigenvectors of \mathbb{W}^λ , respectively, so $\mathbb{W}^\lambda |R_i^\lambda\rangle = \theta_i(\lambda) |R_i^\lambda\rangle$ and $\langle L_i^\lambda| \mathbb{W}^\lambda = \theta_i(\lambda) \langle L_i^\lambda|$, with $\theta_i(\lambda) \in \mathbb{C}$ the associated eigenvalue ordered according to their real part (largest first), so that $\theta(\lambda) = \theta_0(\lambda)$. Fig. 2.a-b shows the spectrum of \mathbb{W}^λ for $L = 24$, $\rho_0 = 1/3$, $E = 10$ and two values of the biasing field λ , one subcritical (Fig. 2.a) and another once the DPT has kicked in (Fig. 2.b). Clearly, the topology of the spectrum changes radically between the two phases. In particular, while the spectrum is gapped for any $\lambda < \lambda_c^-$ or $\lambda > \lambda_c^+$ (Fig. 2.c), the condensate phase ($\lambda_c^- < \lambda < \lambda_c^+$) is characterized by a vanishing spectral gap for the real part of a macroscopic fraction of eigenvalues as $L \rightarrow \infty$, which decays as a power-law with $1/L$, see Fig. 2.d. Moreover, the imaginary parts of the gap-closing eigenvalues exhibit a clear band structure with a constant frequency gap δ which can be di-

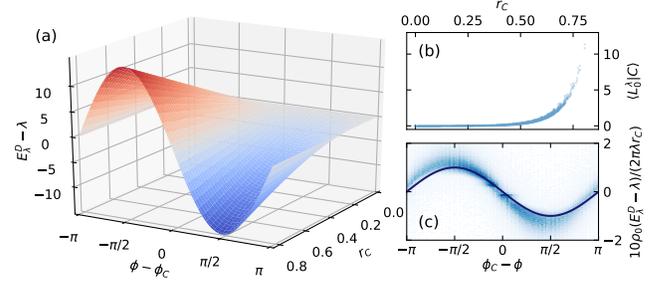


FIG. 3. (a) Smart packing field for $\rho_0 = 1/3$ and $\lambda = -9$ as a function of packing order parameter r_C and the angular distance to the center-of-mass position. (b) $\langle L_0^\lambda | C \rangle$ vs the packing order parameter r_C for $L = 24$, $\rho_0 = 1/3$, $E = 10$, $\lambda = -9$ (condensate phase) and a large sample of microscopic configurations. (c) Angular dependence of the Doob’s smart field with respect to the center-of-mass angular location for a large sample of microscopic configurations and the same parameters, together with the $\sin(\phi_k - \phi_C)$ prediction (line).

rectly linked with the velocity v of the moving condensate, $\delta = 2\pi v/L$ (see dashed horizontal lines in Fig. 2.d), all standard features of a time-crystal phase [4, 9–12]. Indeed, the emergence of a multiple ($\mathcal{O}(L)$ -fold) degeneracy as L increases for $\lambda_c^- < \lambda < \lambda_c^+$ signals the appearance of different competing (symmetry-broken) states, related to the invariance of the condensate against integer translations along the lattice. This DPT at the fluctuating level has therefore the fingerprints of a time-crystal phase, thus enabling a path to engineer these novel phases of matter in driven diffusive systems.

Doob’s smart field and time-crystal exclusion process.— We can now make typical the rare events for arbitrary λ by transforming the non-stochastic generator \mathbb{W}^λ into a physical generator \mathbb{W}_D^λ via the Doob’s transform $\mathbb{W}_D^\lambda \equiv \mathbb{L}_0 \mathbb{W}^\lambda \mathbb{L}_0^{-1} - \theta_0(\lambda)$, with \mathbb{L}_0 a diagonal matrix with elements $(\mathbb{L}_0)_{ii} = \langle L_0^\lambda | i \rangle$ [73–77]. \mathbb{W}_D^λ is now a probability-conserving stochastic matrix, $\langle - | \mathbb{W}_D^\lambda = 0$, with a spectrum simply related to that of \mathbb{W}^λ , i.e. $\theta_i^D(\lambda) = \theta_i(\lambda) - \theta_0(\lambda)$ with $|R_{i,D}^\lambda\rangle = \mathbb{L}_0 |R_i^\lambda\rangle$ and $\langle L_{i,D}^\lambda| = \langle L_i^\lambda | \mathbb{L}_0^{-1}$, generating in the steady state the same trajectory statistics as \mathbb{W}^λ . To better understand the underlying physics, we now write Doob’s dynamics in terms of the original WASEP dynamics supplemented by a smart field E_λ^D , i.e. we define $(\mathbb{W}_D^\lambda)_{ij} = (\mathbb{W})_{ij} \exp[q_{C_i C_j} (E_\lambda^D)_{ij}/L]$ with $(\mathbb{W}_D^\lambda)_{ij} = \langle C_i | \mathbb{W}_D^\lambda | C_j \rangle$ and $q_{C_i C_j} = \pm 1$ the particle current involved in the transition $C_j \rightarrow C_i$. Together with the definition of \mathbb{W}_D^λ , this leads to

$$(E_\lambda^D)_{ij} = \lambda + q_{C_i C_j} L \ln \left(\frac{\langle L_0^\lambda | C_i \rangle}{\langle L_0^\lambda | C_j \rangle} \right). \quad (3)$$

E_λ^D can be interpreted as the external field needed to make typical a rare event of bias field λ . In order to disentangle the nonlocal complexity of Doob’s smart field, we scrutinize its dependence on the packing parameter

r_C . In particular, Fig. 3.b plots the projections $\langle L_0^\lambda | C \rangle$ vs the packing parameter r_C for a large sample of microscopic configurations C , as obtained for $L = 24$, $\rho_0 = 1/3$ and $\lambda = -9$ (condensate phase). Interestingly, this shows that $\langle L_0^\lambda | C \rangle \simeq f_{\lambda,L}(r_C)$ to a high degree of accuracy, with $f_{\lambda,L}(r)$ some unknown λ - and L -dependent function of the packing parameter. This means in particular that the Doob's smart field $(E_\lambda^D)_{ij}$ depends essentially on the packing parameter of configurations C_i and C_j , a radical simplification. Moreover, as elementary transitions involve just a local particle jump, the resulting change on the packing parameter is perturbatively small for large enough L . In particular, if C'_k is the configuration that results from C after a particle jump at site $k \in [1, L]$, we have that $r_{C'_k} \simeq r_C + 2\pi q_{C'_k} (\rho_0 L^2)^{-1} \sin(\phi_C - \phi_k)$, with $\phi_k \equiv 2\pi k/L$. The Doob's smart field for this transition is then $(E_\lambda^D)_{C'_k, C} \simeq \lambda + 2\pi(\rho_0 L)^{-1} g_{\lambda,L}(r_C) \sin(\phi_C - \phi_k)$, with $g_{\lambda,L}(r) \equiv f'_{\lambda,L}(r)/f_{\lambda,L}(r)$, and we empirically find a linear dependence $g_{\lambda,L}(r) \approx -\lambda L r/10$ near the critical point λ_c^+ . This is confirmed in Fig. 3.c, where we plot $10\rho_0[(E_\lambda^D)_{C'_k, C} - \lambda]/(2\pi\lambda r_C)$ obtained from Eq. (3) for a large sample of connected configurations $C \rightarrow C'_k$ as a function of $\phi_C - \phi_k$. Similar effective potentials for atypical fluctuations have been found in other driven systems [88, 89]. In this way, $(E_\lambda^D - \lambda)$ acts as a *packing field* on a given configuration C , pushing particles that lag behind the center of mass while restraining those moving ahead, see Fig. 3.a, with an amplitude proportional to the packing parameter r_C and λ . This nonlinear feedback mechanism, which competes with the diffusive tendency to flatten profiles and the pushing constant field, amplifies naturally-occurring fluctuations of the packing parameter, leading eventually to a time-crystal phase for $\lambda_c^- < \lambda < \lambda_c^+$.

As a proof of concept, we now introduce the time-crystal exclusion process (tcEP), a variant of the 1d WASEP where a particle at site k hops stochastically under a configuration-dependent packing field $E_\lambda(C; k) = E + \lambda + 2\lambda r_C \sin(\phi_k - \phi_C)$, with E the WASEP constant external field and λ now a control parameter. We note that this smart field can be also written as a Kuramoto-like long-range interaction term $E_\lambda(C; k) = E + \lambda + \frac{2\lambda}{N} \sum_{j \neq k} \sin(\phi_k - \phi_j)$, highlighting the link between the tcEP and the Kuramoto model of synchronization [78–81]. According to the discussion above, we expect this lattice gas to display a putative steady-state phase transition to a time-crystal phase with a rotating condensate at some critical λ_c . To test this picture, we performed extensive Monte Carlo simulations and a finite-size scaling analysis of the tcEP at density $\rho_0 = 1/3$. The average packing parameter $\langle r \rangle$ increases steeply but continuously for $\lambda < \lambda_c = -\pi/(1 - \rho_0) \approx -4.7$ see Fig. 4.a, converging toward the macroscopic hydrodynamic prediction (see below) as $L \rightarrow \infty$. Moreover the associated susceptibility, as measured by the packing fluctuations $\langle r^2 \rangle - \langle r \rangle^2$, exhibits a well-defined peak around λ_c which

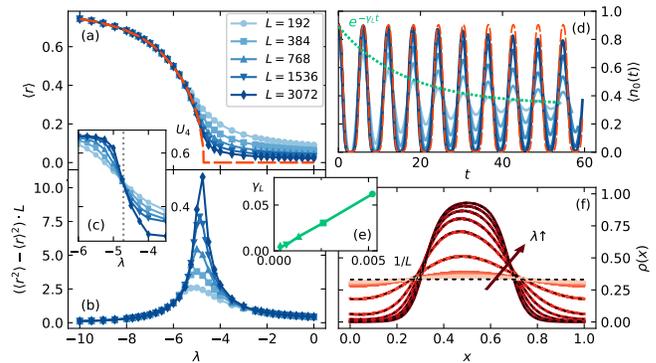


FIG. 4. Numerics for the time-crystal exclusion process. Average packing order parameter (a), its fluctuations (b) and Binder's cumulant (c) measured for $\rho_0 = 1/3$, $E = 10$ and different L . (d) Local density as a function of time and different L 's in the time-crystal phase ($\lambda = -9$). Note the persistent oscillations typical of time crystals. (e) Decay of the oscillations damping rate as $L \rightarrow \infty$, a clear sign of the rigidity of the time-crystal phase in the thermodynamic limit. (f) Average density profile of the condensate for $L = 1536$ and varying λ . Dashed lines correspond to hydrodynamic predictions.

sharpens as L grows and is compatible with a divergence in the thermodynamic limit (Fig. 4.b). The critical point location can be inferred from the crossing of the finite-size Binder cumulants $U_4(L) = 1 - \langle r^4 \rangle / (3\langle r^2 \rangle^2)$ for different L 's, see Fig. 4.c, and agrees with the hydrodynamic value for λ_c . Interestingly, the average density at a given point exhibits persistent oscillations as a function of time with period v^{-1} (in the diffusive timescale), see Fig. 4.d, with v the condensate velocity, a universal feature of time crystals [4, 7–32], and converges toward the hydrodynamic (undamped) periodic prediction as $L \rightarrow \infty$. Indeed the finite-size damping rate of oscillations, γ_L , obtained from an exponential fit to the envelope of $\langle n_0(t) \rangle$, decays to zero in the thermodynamic limit (Fig. 4.e), a clear signature of the rigidity of the long-range spatio-temporal order emerging in the time crystal phase of tcEP. We also measured the average density profile of the moving condensate, see Fig. 4.f, which becomes highly nonlinear deep into the time-crystal phase. In the macroscopic limit, one can show using a local equilibrium approximation [90–95] that the tcEP is described by a hydrodynamic equation (1) with a ρ -dependent local field $E_\lambda(\rho; x) = E + \lambda + 2\lambda r_\rho \sin(2\pi x - \phi_\rho)$, with $r_\rho = |z_\rho|$, $\phi_\rho = \arg(z_\rho)$, and $z_\rho = \rho_0^{-1} \int_0^1 dx \rho(x) e^{i2\pi x}$ the field-theoretic generalization of our complex order parameter. A local stability analysis then shows [41, 56, 66] that the homogeneous solution $\rho(x, t) = \rho_0$ becomes unstable at $\lambda_c = -2\pi\rho_0 D(\rho_0)/\sigma(\rho_0) = -\pi/(1 - \rho_0)$, where a ballistic condensate emerges. Hydrodynamic predictions are fully confirmed in simulations, see Fig. 4. Note that the tcEP hydrodynamics is similar to the continuous limit of the Kuramoto model [81], with the peculiarity that for tcEP the mobility is quadratic in ρ (a reflection of microscopic

particle exclusion) while it is linear for Kuramoto.

Conclusion.— We provide here a new mechanism to engineer time-crystalline order in driven diffusive media, inspired by symmetry-breaking DPTs appearing at the fluctuating level in many-body systems, and physically based on the idea of a packing field which triggers a condensation instability. The modern experimental control of colloidal fluids trapped in quasi-1d periodic structures, such as circular channels [96, 97] or optical traps based e.g. on Bessel rings or optical vortices [98–100], together with feedback-control force protocols to implement the nonlinear packing field $E_\lambda(C; k)$ using optical tweezers [101, 102], may allow the engineering and direct observation of this time-crystal phase, opening the door to further experimental advances in this active field. Moreover, the ideas developed in this paper can be further exploited in $d > 1$, where DPTs exhibit a much richer phenomenology [56, 103], with different spatio-temporal symmetry-broken fluctuation phases separated by lines of 1st- and 2nd-order DPTs, competing density waves and coexistence. This may lead, via the Doob’s transform pathway here described, to materials with a rich phase diagram composed of multiple spacetime-crystalline phases.

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